



ST 2654
#3 4-18-03

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PATENT APPLICATION

Docket No.: 2685/5613

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Technology Center 2600

In re the Application of: Allen L. GORIN, et al..

Application No.: 09/765,444 ✓

Filed: January 21, 2001

Group Art: TO BE DETERMINED

EXAMINER: TO BE DETERMINED

For: METHOD AND SYSTEM FOR PREDICTING UNDERSTANDING ERRORS IN
AUTOMATED DIALOG SYSTEMS

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Director of the U.S. Patent and Trademark Office
Washington, D.C. 20231

Sir:

Pursuant to 37 CFR § 1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom. The paragraphs marked below are applicable.

☒ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date or the date of filing a CPA, OR (b) before the mailing date of a first Office Action on the merits in the present application. No certification or fee is required.

☐ 2. This Information Disclosure Statement is being filed with a Request for Continued Examination (RCE). No certification or fee is required.

☐ 3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.

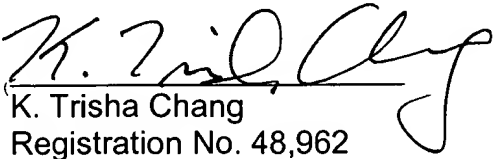
☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).

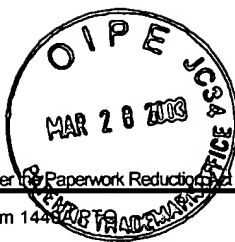
- ☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(2).
- ☐ c. Please debit Deposit Account No. 11-0600 in the amount of \$180.00 in payment of the fee under 37 CFR §1.17(p) to ensure consideration of the disclosed information. Two duplicate copies of this paper are attached.
- ☐ 4. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. Applicant(s) hereby petition(s) that the Information Disclosure Statement be considered. Please debit Deposit Account No. 11-0600 in the amount of \$130.00 in payment of the petition fee under 37 CFR §1.17(i)(1) to ensure consideration of the disclosed information. Two duplicate copies of this paper are attached.
- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).
- ☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(2).

Respectfully submitted,

KENYON & KENYON

Date: March 28, 2003


K. Trisha Chang
Registration No. 48,962



PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 1

Application Number	09/765,444
Filing Date	January 22, 2001
First Named Inventor	Allen Louis GORIN et al.
Group Art Unit	To Be Determined
Examiner Name	To Be Determined
Attorney Docket Number	2685/5613

U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
		US-4,777,600	10-11-88	SAITO, et al.	
		US- 4,866,778	09-12-89	BAKER	
		US 4,903,305	02-20-90	GILLICK et al.	
		US- 5,029,214	07-02-91	HOLLANDER	
		US- 5,033,088	07-16-91	SHIPMAN	
		US 5,099,425	03-24-92	KANNO: Yuji et al.	
		US- 5,384,892	06-24-95	STRONG	
		US- 5,390,272	02-14-95	REPTA, et al.	
		US- 5,434,906	07-18-95	ROBINSON et al.	
		US 5,457,768	10-10-95	Tsuboi et al.	
		US- 5,666,400	09-09-97	McALLISTER et al.	
		US- 5,719,921	02-17-98	VYSOTSKY et al.	
		US 5,794,193	08-11-98	GORIN	
		US 5,839,106	11-17-98	BELLEGRADA	
		US- 5,905,774	05-18-99	TATCHELL et al.	
		US- 6,021,384	02-01-00	GORIN et al.	
		US 6,023,673	02-08-00	BAKIS et al.	
		US- 6,192,110 B1	02-20-01	ABELLA et al.	

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FOREIGN PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	
		A.L. GORIN et al. "HOW MAY I HELP YOU?", AT&T Labs Research, pg. 1-2 and 4, as it was to appear in the Proc. Of IVITA, Philadelphia, October 1996	
		Patent Application for 09/690,721, "METHOD FOR TASK CLASSIFICATION USING MORPHEMES", filed October 18, 2001, by Allen L. GORIN, et al.	
		Patent Application for 09/690,903, "METHOD FOR GENERATING MORPHEMES", filed October 18, 2000, by Allen L. GORIN, et al.	

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* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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